



Attorney's Docket No.: 80398.P416

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 )  
Harold Aaron Ludtke, et al. )  
 )  
Application No.: 09/734,839 )  
 )  
Filed: December 11, 2000 )  
 )  
For: A Secure and Convenient Method and )  
Apparatus for Storing and Transmitting )  
Telephony-Based Data )

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**  
**(FILING DATE GRANTED)**

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional)) (Filing Date  
Granted) mailed May 10, 2001, please find enclosed:

- (1) Substitute drawings in compliance with 37 CFR 1.84;
- (2) a copy of the Notice of Incomplete Reply;

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231

on May 24, 2001

Dianne Neathery

(Name of Person Mailing Correspondence)

Dianne Neathery  
(Signature)

5-24-2001  
(Date of Signature)

- (3) a Petition for a two (2)-month extension of time under 37 C.F.R. § 1.136(a); and
- (4) a check in the amount of \$390.00 in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666.  
A duplicate of this Response is enclosed for deposit account charging purposes.

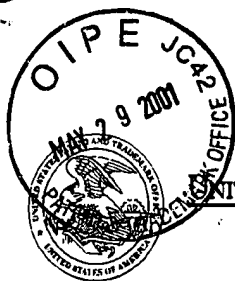
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: May 24, 2001

Maria McCormack Sobrino  
Maria McCormack Sobrino  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,839	12/11/2000	Harold Aaron Ludtke	80398.P416

CONFIRMATION NO. 4722

## FORMALITIES LETTER



\*OC00000006060861\*

Jeffrey S. Smith  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

Date Mailed: 05/10/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FEE TRANSMITTAL FOR FY 2001****TOTAL AMOUNT OF PAYMENT (\$)** \$390.00**Complete if Known:**Application No. 09/734,839Filing Date December 11, 2000First Named Inventor Harold Aaron Ludtke, et al.Group Art Unit 2171Examiner Name UnassignedAttorney Docket No. 80398.P416**METHOD OF PAYMENT (check one)**

1. ☒ [ X ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
Deposit Account Name \_\_\_\_\_

☒ [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ [ ] Applicant claims small entity status. See 37 CFR 1.27

2. ☒  X  Payment Enclosed: ☒  X  Check  
\_\_\_\_\_  
Credit Card  
\_\_\_\_\_  
Money Order  
\_\_\_\_\_  
Other

**FEE CALCULATION****1. BASIC FILING FEE**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
101	710	201	355	Utility application filing fee	_____
106	320	206	160	Design application filing fee	_____
107	490	207	245	Plant filing fee	_____
108	710	208	355	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____

**SUBTOTAL (1) \$** .00**2. EXTRA CLAIM FEES**

		<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims _____	- 20** = _____	X	_____	= _____
Independent Claims _____	- 3** = _____	X	_____	= _____
Multiple Dependent _____			_____	= _____

**\*\*Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$** .00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	390.00
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal publication	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(l)	
091	1,240	091	1,240	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

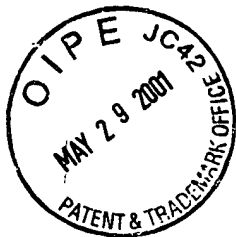
Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 390.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Maria McCormack SobrinoSignature: Maria McCormack Sobrino Date: May 24, 2001Reg. Number: 31,639 Telephone Number: (408) 720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



## Certificate of Mailing

I hereby certify that the correspondence listed on the postcard below are being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D. C. 20231

on May 24, 2001

Dianne Neathery

(Name of Person Mailing Correspondence)

Dianne Neathery  
(Signature)

5-24-2001  
(Date of Signature)

Serial/Patent No.: 09/734,839 Filing/Issue Date: December 11, 2000

Client: Sony Corporation

Title: A Secure and Convenient Method and Apparatus for Storing and Transmitting Telephony Based Data

BSTZ File No.: 80398, P416

Atty/Secty Initials: MES/AEH/den

Date Mailed: May 24, 2001

Docket Due Date: May 24, 2001

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☐ Application - Utility (\_\_\_\_ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing **with postcard**
- ☐ Declaration & POA (\_\_\_\_ pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 31 # of sheets includes 37 figures

- ☐ Express Mail No.: \_\_\_\_\_
- ☒ **Two (2)** Month(s) Extension of Time Amt: **\$390.00**
- ☐ Information Disclosure Statement & PTO 149 (\_\_\_\_ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☒ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☐ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☒ Response to Notice of ~~Missing Parts~~ **Incomplete Reply**
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☐ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

☒ Other: Copy of Notice of Incomplete Reply (Filing Date Granted)